

# Non-UCITS retail scheme Key Investor Information

This document provides you with key investor information about this Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Fund. You are advised to read it so you can make an informed decision about whether to invest.

## FP Apollo Multi Asset Balanced Fund, a sub-fund of FP Apollo Multi Asset Management Funds

Class A Accumulation Shares (GBP) (ISIN GB00B3FPDZ62)

Class A Income Shares (GBP) (ISIN GB00B3WS1C17)

The Fund is managed by FundRock Partners Limited.

### Objective and investment policy

#### Objective:

The investment objective of the Fund is to achieve a positive return over the medium to longer term, which is in excess of normal cash deposit rates.

#### Policy:

- The Fund will invest in a range of assets including collective investment schemes, closed-ended funds, transferable securities, money market instruments and cash/deposits.
- The Fund may also seek investment diversification by obtaining indirect exposure to alternative asset classes including hedge funds, private equity, commodities and indirectly in property.
- The Fund may utilise derivatives (investments whose value is linked to another investment, or the performance of a stock exchange or to some other variable factor, such as interest rates) for the purposes of efficient portfolio management (to reduce costs and/or the overall risk of the Fund).
- The Fund is actively managed.

#### Benchmark:

The Fund uses ARC Sterling Balanced Private Client Index for performance comparison only.

ARC Private Client Indices (PCI) provide an accurate reflection of the actual returns that a private client should expect for a given risk appetite. This approach leaves investment managers free to use any and all investment strategies, vehicles and structures in the pursuit of the maximum return per unit of realised volatility. The ARC Sterling Balanced Private Client Index has been selected as the comparator because the index is suited to the FP Apollo

Multi Asset Balanced Fund's risk profile.

The PCI are a peer group comparison tool designed to provide an understanding of the performance generated by discretionary private client investment managers. The Indices are based on real performance numbers provided by participating investment managers and focus on high quality data with no model or synthetic data being used.

The ARC Sterling Balanced Private Client Index is used as a way for investors to compare the FP Apollo Multi Asset Balanced Fund's rank or quartile as compared to the performance of its peers over a variety of time frames.

#### Other information:

- You can buy and sell shares on most business days where the London Stock Exchange is open for a full range of services.
- Class A shares are dominated in Sterling.
- We carry out investors' requests, to buy, sell or switch, at midday on each business day (which excludes UK public holidays). If we receive a request after midday, we deal with it on the next business day.
- Recommendation: the Fund may not be appropriate for investors who plan to withdraw their money within five years.
- There can be no guarantee that the objective of the Fund will be achieved.
- On encashment, particularly in the short-term, you may receive less than the original amount invested.
- If you hold accumulation shares, income from investments in the Fund will be rolled up into the value of your shares.
- If you hold income shares, income from investments in the Fund will be paid out to you once a year.

### Risk and reward profile

#### ◀ Lower Risk

Typically lower rewards

#### Higher Risk ▶

Typically higher rewards

1

2

3

4

5

6

7

• This indicator is based on historical data and may not be a reliable indication of the future risk profile of the Fund.

• The risk category shown is not guaranteed to remain unchanged and may shift over time.

• The lowest category does not mean 'risk free'.

• The Class A Shares above appear as a '4' on the scale. This is because the Fund by its nature invests in a mixture of investments. The value of some of these investments may vary more widely than others.

• The Fund does not provide its investors with any guarantee on performance, nor on monies invested in it.

In addition to the risk captured by the indicator, the overall Fund value may be considerably affected by:

• Counterparty Risk-The Fund could lose money if an entity with which it interacts becomes unwilling or unable to meet its obligations to the Fund.

• Liquidity Risk-Certain securities could become hard to value, sell at a desired time and price, or cease to trade altogether.

• Management Risk-Investment management techniques that have worked in normal market conditions could prove ineffective or detrimental at other times.

• Exchange Rate Risk-Fluctuations in exchange rates may cause the value of your investment to rise or fall.

• Investing in other collective investment schemes - The Fund may invest in other regulated collective investment schemes. As an investor of another collective investment scheme, the Fund will bear, along with the other investors, its portion of the expenses of the other collective investment scheme, including management, performance and/or other fees. These fees will be in addition to the management fees and other expenses which a Fund bears directly with its own operations.

• Non-UCITS Retail Schemes (NURS) - The Fund is classed as a NURS and can have wider investment and borrowing powers than a UCITS scheme with higher investment limits in various areas. It can also invest to a greater extent in areas such as property and unregulated schemes and have the option to borrow on a permanent basis. Such additional powers can increase potential reward, but may also increase risk.

• Hedging transactions - Hedging transactions may be entered into whether the Euro or US dollar (as appropriate) is declining or increasing in value relative to Sterling. Where such hedging is undertaken it may substantially protect investors in the relevant Class against a decrease in the value of Sterling relative to the US dollar or Euro but it may also preclude investors from benefiting from an increase in the value of Sterling against those currencies. While the Fund may attempt to hedge currency risks, there can be no guarantee that it will be successful in doing so.

• Derivatives can carry a high degree of risk - a relatively small movement in the price of the underlying security may result in a disproportionately large movement, unfavourable or favourable, in the price of the derivative. Please do not invest if you do not have the level of knowledge required in order to assess and evaluate the risks associated with the use of derivatives by the Fund or the impact of derivatives on your investment.

For full details of the Fund's risks please see Section 5 of the Fund's Prospectus, which is available from the ACD at FundRock Partners Limited - Apollo, PO Box 10263, Chelmsford CM99 2AS or, during normal business hours on 01268 44 8215.



## Charges for this fund

The charges you pay are used to pay the costs of running the Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

### One-off charges taken before or after you invest

	Class A Acc (GBP)	Class A Inc (GBP)
Entry Charge	5.00%	2.00%
Exit charge	0.00%	0.00%

This is the maximum that might be taken out of your money before it is invested (Entry charge) or before the proceeds of your investment are paid out (Exit charge).

### Charges taken from the fund over a year

Ongoing charge	2.12%
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### Charges taken from the fund Under a certain specific conditions

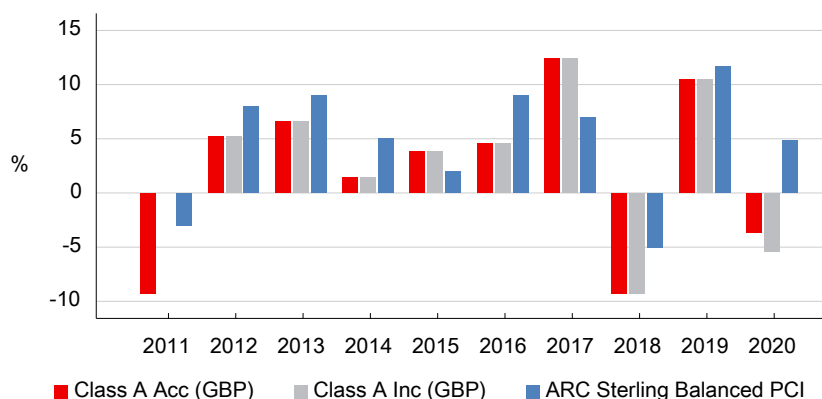
Performance fee	-
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The entry and exit charges shown are maximum figures. In some cases (including when switching to other funds) you might pay less. You can find out actual entry and exit charges from your financial adviser.

The ongoing charges figure is based on expenses for the period ending 30 June 2020. Ongoing charges may vary from year to year. The ongoing charges figure includes any portfolio transaction costs which the Fund pays to its service providers (e.g. to the Fund's custodian) and any entry/exit charges the Fund pays when buying/selling units in another fund. In general, however, the figure excludes other portfolio transaction costs.

For more information about charges please see Section 7 of the Fund's Prospectus, which is available from the ACD at FundRock Partners Limited – Apollo, PO Box 10263, Chelmsford CM99 2AS or, during normal business hours on 01268 44 8215. For more details please see the Supplementary Information Document (SID) which is available at [www.FundRock.com](http://www.FundRock.com) or on the above number.

## Past performance



Source: Morningstar Direct.

Past performance is not a guide to future performance.

The Accumulation class launched on 26 November 2008. The Income class launched on 04 January 2011.

The past performance shown in the chart takes into account all charges except entry and exit charges.

Performance for the share class is calculated in the currency indicated.

Class A Acc (GBP)	-9.3%	5.2%	6.6%	1.4%	3.8%	4.6%	12.4%	-9.3%	10.5%	-3.63%
Class A Inc (GBP)	-	5.2%	6.6%	1.4%	3.8%	4.6%	12.4%	-9.3%	10.5%	-5.38%
ARC Sterling Balanced PCI	-3.0%	8.0%	9.0%	5.0%	2.0%	9.0%	7.0%	-5.0%	11.7%	4.84%

## Practical information

**Depository:** Northern Trust Global Services SE.

**Documents and remuneration policy:** Paper copies of the Fund's Prospectus, the Instrument of Incorporation, the Key Investor Information Documents, the latest annual and semi-annual reports for the Fund and an up-to-date version of the ACD's remuneration policy, including, but not limited to: (i) a description of how remuneration and benefits are calculated; and (ii) the identities of persons responsible for awarding the remuneration and benefits including the composition of the remuneration committee, may be obtained free of charge from the ACD at FundRock Partners Limited – Apollo, PO Box 10263, Chelmsford CM99 2AS or during normal business hours on 01268 44 8215. The report, accounts and up-to-date remuneration policy can also be obtained from the ACD's website at [www.FundRock.com](http://www.FundRock.com). These documents are available in English.

**Liability statement:** FundRock Partners Limited may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the Prospectus for the Fund. FP Apollo Multi Asset Management Funds is an open-ended investment company with variable capital, structured as an umbrella Company with three sub-funds. The Company is incorporated with limited liability and registered in England and Wales under number IC000720.

**Prices of shares and further information:** The last published prices of shares in the Fund and other information on the Fund, including how to buy and sell shares are available at [www.fundlistings.com](http://www.fundlistings.com) or, during normal business hours, from FundRock Partners Limited – Apollo, PO Box 10263, Chelmsford CM99 2AS or by telephone on 01268 44 8215. You may switch between other funds of FP Apollo Multi Asset Management Funds. An entry charge may apply. Details on switching are provided in the SID and the Fund's Prospectus. The assets of the Fund belong exclusively to it and are not available to meet the liabilities of any other fund or sub-fund.

**Tax:** UK tax legislation may have an impact on your personal tax position. Under current UK revenue law and practice, UK resident shareholders may be subject to income tax for income distributions received or capital gains tax on disposal of their shares. Shareholders are advised to consult their professional advisers as to their tax position. Further information on the subject of tax is available in the Prospectus.

This Fund is authorised in the UK and regulated by the Financial Conduct Authority ("FCA"). The ACD/Manager is authorised and regulated in the UK by the FCA.

This key investor information is accurate as at 19 February 2021.